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| U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE   |  | ATTORNEY'S DOCKET NUMBER<br>GRC 19.329  |
| TRANSMITTAL LETTER TO THE UNITED STATES<br>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br>CONCERNING A FILING UNDER 35 U.S.C. 371  |  | U.S. APPLICATION NO. (If known, see 37 CFR 1.5<br><b>10 / 030595</b>  |
| INTERNATIONAL APPLICATION NO.<br>PCT/AU00/00917   | INTERNATIONAL FILING DATE<br>02 AUGUST 2000 (02.08.00) | PRIORITY DATE CLAIMED<br>09 AUGUST 1999 (09.08.99)  |
| TITLE OF INVENTION<br>SWIVEL JOINT  |  |   |
| APPLICANT(S) FOR DO/EO/US<br>TRITCHLER, Robert, W., et al.  |  |   |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:   |  |   |
| <ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li><input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).</li> <li><input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))             <ol style="list-style-type: none"> <li><input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li><input checked="" type="checkbox"/> has been communicated by the International Bureau.</li> <li><input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li><input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).             <ol style="list-style-type: none"> <li><input type="checkbox"/> is attached hereto.</li> <li><input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li><input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))             <ol style="list-style-type: none"> <li><input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li><input checked="" type="checkbox"/> have been communicated by the International Bureau.</li> <li><input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li><input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li><input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li><input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li><input type="checkbox"/> An English lanugage translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol> |  |   |
| Items 11 to 20 below concern document(s) or information included:   |  |   |
| <ol style="list-style-type: none"> <li><input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li><input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li><input type="checkbox"/> A <b>FIRST</b> preliminary amendment.</li> <li><input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> <li><input type="checkbox"/> A substitute specification.</li> <li><input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li><input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.</li> <li><input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li><input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).             <p style="text-align: center;">Copy of WO 01/11282, copy of amendments</p> </li> <li><input checked="" type="checkbox"/> Other items or information: under Article 19 and 34.</li> </ol>  |  |   |
| <p><i>The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1290.</i></p>  |  | <i>Filed by Express Mail<br/>(Receipt No. EL868036075US)<br/>on January 8, 2002<br/>pursuant to 37 C.F.R. 1.10.</i> <p style="text-align: right;"><i>by [Signature] [Signature]</i></p> |

U.S. APPLICATION NO. (if known, see 37 CFR 1.51) **10/030** INTERNATIONAL APPLICATION NO.  
PCT/AU00/00917ATTORNEY'S DOCKET NUMBER  
GRC 19.32921.  The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**

Neither international preliminary examination fee (37 CFR 1.482)  
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO  
and International Search Report not prepared by the EPO or JPO. .... \$1000.00

International preliminary examination fee (37 CFR 1.482) not paid to  
USPTO but International Search Report prepared by the EPO or JPO ..... \$860.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO  
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$710.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO  
but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$690.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO  
and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =****CALCULATIONS PTO USE ONLY**

Surcharge of \$130.00 for furnishing the oath or declaration later than  20  30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$1,000.00

| CLAIMS                                      | NUMBER FILED | NUMBER EXTRA | RATE       | \$                 |
|---|--------------|--------------|------------|--------------------|
| Total claims                                | 21 - 20 =    | 1            | x \$18.00  | \$ 18.00           |
| Independent claims                          | 2 - 3 =      | 0            | x \$80.00  | \$                 |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) |              |              | + \$270.00 | \$                 |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>        |              |              |            | <b>\$ 1,018.00</b> |

|  |                 |
|--|-----------------|
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above<br>are reduced by 1/2. | <b>\$509.00</b> |
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|                   |                  |
|-------------------|------------------|
| <b>SUBTOTAL =</b> | <b>\$ 509.00</b> |
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|  |    |
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| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | \$ |
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|                             |                  |
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| <b>TOTAL NATIONAL FEE =</b> | <b>\$ 509.00</b> |
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|   |          |
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| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be<br>accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | \$ 40.00 |
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|                              |                  |
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| <b>TOTAL FEES ENCLOSED =</b> | <b>\$ 549.00</b> |
|------------------------------|------------------|

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|--|-----------------------------------|----|
|  | <b>Amount to be<br/>refunded:</b> | \$ |
|--|-----------------------------------|----|

|  |                 |    |
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|  | <b>charged:</b> | \$ |
|--|-----------------|----|

- a.  A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.
- b.  Please charge my Deposit Account No. 50-1290 in the amount of \$ 549.00 to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c.  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1290. A duplicate copy of this sheet is enclosed.
- d.  Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

**ROSENMAN & COLIN LLP**  
**575 Madison Avenue**  
**New York, New York 10022-2585**  
**(212) 940-8800**  
**(212) 940-8986**



SIGNATURE

Harris A. Wolin

NAME

39,432

REGISTRATION NUMBER